The Revenge of a Student Symbol Codes


## Symbol codes

- Notation: $\{0,1\}^{+}=\{0,1,00,01,10,11,000, \ldots$.
- A symbol code $C$ is a mapping from $\boldsymbol{A}_{x}$ to $\{0,1\}^{+}$

$$
c^{+}\left(x_{1} x_{2} x_{3} \ldots x_{N}\right)=c\left(x_{1}\right) c\left(x_{2}\right) c\left(x_{3}\right) \ldots c\left(x_{N}\right)
$$

$\boldsymbol{A}_{x}$

$1(x)=|x|$


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## Example

$$
\begin{aligned}
& \boldsymbol{A}_{\mathrm{x}}=\{1,2,3,4\}, P_{\mathrm{x}}=\{1 / 2,1 / 4,1 / 8,1 / 8\} \\
& c: c(1)=0, c(2)=10, c(3)=110, c(4)=111
\end{aligned}
$$

The entropy of X is 1.75 bits: $\mathrm{L}(\mathrm{C}, \mathrm{X})$ is also 1.75 bits
Obs!
$l_{i}=\log _{2}\left(1 / p_{i}\right), p_{i}=2^{-l}$


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## Kraft inequality

- Given a list of integer $\left\{I_{i}\right\}$, does there exist a uniquely decodable code with $\left\{\left.\right|_{i}\right\}$ ?
- "Market model": total budget 1; cost per codeword of length / is $2^{-1}$.
Kraft inequality: For any uniquely decodeable code $C$ over the binary alphabet $\{0,1\}$, the codeword lengths must satisfy: $\sum_{i} 2^{-l_{i}} \leq 1$

Conversely, given a set of codeword lengths that satisfythis inequality, there exists a uniquely decodable prefix code with these codelengths.

Limits of unique decodeability

|  | 00 | 000 | 0000 | "00000000 |
| :---: | :---: | :---: | :---: | :---: |
| 0 |  |  | 0001 |  |
|  |  |  | 0010 |  |
|  |  | 001 | 0011 |  |
|  |  | 010 | 0100 |  |
|  | 01 | 010 | 0101 |  |
|  |  | 011 | 0110 |  |
|  |  | 011 | 0111 |  |
| 1 | 10 | 100 | 1000 |  |
|  |  |  | 1001 |  |
|  |  | 101 | 1010 |  |
|  |  |  | 1011 |  |
|  | 11 | 110 | 1100 |  |
|  |  |  | 1101 |  |
|  |  | 111 | 1110 |  |
|  |  |  | 1111 |  |

[^0]
## What can we hope for?

Lower bound on expected length: The expected length $\mathrm{L}(\mathrm{C}, \mathrm{X})$ of a uniquely decodable code is bounded below by $\mathrm{H}(\mathrm{X})$.

Compression limit of symbol codes: For an ensemble $X$ there exists a prefix code $\mathrm{H}(\mathrm{X}) \leq \mathrm{L}(\mathrm{C}, \mathrm{X})<\mathrm{H}(\mathrm{X})+1$.


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"Proof-map" of the lower bound


## (What happens if we use the "wrong" code?)

Assume the "true probability distribution" is $\left\{p_{i}\right\}$. If we use a complete code with lengths $\mathrm{I}_{\mathrm{i}}$, they define a probabilistic model $q_{\mathrm{i}}=2^{-\mathrm{i}}$. The average length is

$$
L(C, X)=H(X)+\sum_{i} p_{i} \log p_{i} / q_{i}
$$


"Optimal" symbol code: Huffman coding

- Take two least probable symbols in the alphabet as defined by $\left\{p_{i}\right\}$.
- Combine these symbols into a single symbol, $p_{\text {new }}=p_{1}+p_{2}$. Repeat (until one symbol)

Huffman for the Linux manual
$L(C, X)=4.15$ bits $H(X)=4.11$ bits


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Why is this not the end of the story?

- Adaptation: what if the ensemble $X$ changes? (as it does...)
$\checkmark$ calculate probabilities in one pass $\checkmark$ communicate code + the Huffman-coded message
- "The extra bit": what if $H(X) \sim 1$ bit?
$\checkmark$ Group symbols to blocks and design a "Huffman block code"

The guessing game


| An example fixed model |  |  |  |
| :--- | :--- | :---: | :---: | | Symbol | Probability |
| :--- | :--- |
| a | Range |
| e | 0.2 |
| i | 0.3 |
| 0 | 0.1 |
| u | $0.0 .2)$ |
| $!$ | 0.2 |

IEEE Information Society Golden Award: Stream codes

## History of arithmetic coding

- Does not require that the symbols translate into integral number of bits
- Shannon 1948 discussed binary fractions
- First code of this type discovered by Elias
- 1976 Pasco and Rissanen (independently)
- Rissanen \& Langdon 1979 described hardware implementation

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The idea


## Arithmetic coding

- with every new symbol produced by the source, the probabilistic model provides a predictive distribution over all possible values of the next symbol
- i.i.d. = predictive distribution does not change
- encoder uses the model predictions to create a binary string

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## Basics

- Source alphabet $\boldsymbol{A}_{x}=\left\{a_{1}, \ldots, a_{I}\right\}$
- Source stream $x_{1}, x_{2}, \ldots$
- Model $M$ :

$$
P\left(x_{n}=a_{i} \mid x_{1}, \ldots, x_{n-1}\right)
$$

- A binary transmission is viewed defining an interval within the real line from 0 to 1


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## Encoding example

## Various codes: the big picture

- fixed length block codes: mappings from a fixed number of course symbols to a fixed length binary message
- symbol codes
$\checkmark$ variable length code for each symbol in the alphabet
$\checkmark$ code lengths integers
$\checkmark$ Huffmann code (expectation) optimal


## ...big picture continued

- stream codes
$\checkmark$ not constrained to emit at least one bit for every symbol in the source stream
$\checkmark$ arithmetic codes use a probabilistic model that identifies each string with a sub-interval of [0,1). "Good compression requires intelligence"
$\checkmark$ Lempel-Ziv codes memorize strings that have already occurred. "No prior assumptions on the world"


## Lempel-Ziv coding

- simple to implement, asymptotic rate approaches the entropy
- widely used (gzip, compress,...)
- basic idea: replace a substring with a pointer to an earlier occurrence of the substring
- Example: $\checkmark$ String: 1011010100010...
$\checkmark$ Substrings: $1,0,11,01,010,00,10, \ldots$ $\checkmark$ Replace 010 with a pointer to "01" + "1"


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