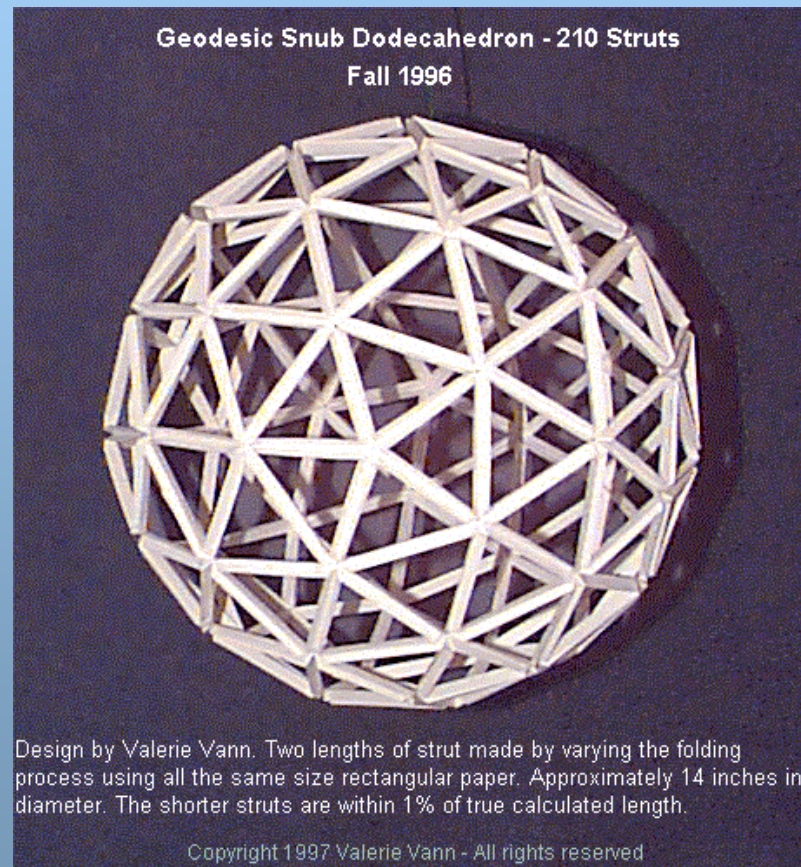


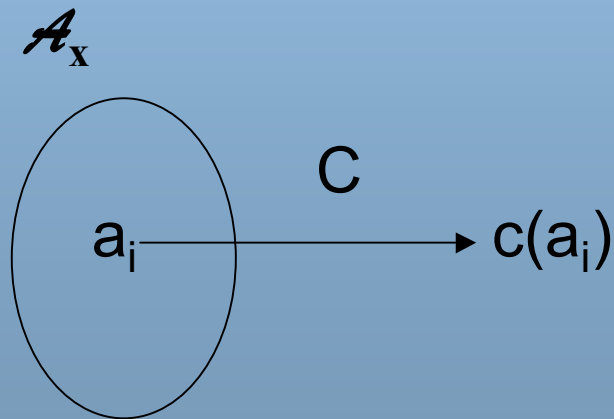
# The Revenge of a Student - Symbol Codes



# Symbol codes

- Notation:  $\{0,1\}^+ = \{0,1,00,01,10,11,000,\dots\}$
- A **symbol code**  $C$  is a mapping from  $\mathcal{A}_x$  to  $\{0,1\}^+$

$$C^+(x_1x_2x_3\dots x_N) = C(x_1)C(x_2)C(x_3)\dots C(x_N)$$



$$l(x) = |x|$$



# Decoding of symbol codes

- A code  $C(X)$  is uniquely decodable if

$$\forall \mathbf{x}, \mathbf{y} \in A_X^+, \mathbf{x} \neq \mathbf{y} \Rightarrow c^+(\mathbf{x}) \neq c^+(\mathbf{y})$$

- A code  $C(X)$  is a **prefix code** if no codeword is a prefix of any other codeword
- The expected length  $L(C, X)$  of a symbol code  $C$  for ensemble  $X$  is

$$L(C, X) = \sum_{x \in A_X} P(x) l(x)$$

# Example

$$\mathcal{A}_X = \{1, 2, 3, 4\}, P_X = \{1/2, 1/4, 1/8, 1/8\}$$

$$C: c(1) = 0, c(2) = 10, c(3) = 110, c(4) = 111$$

The entropy of  $X$  is 1.75 bits:  $L(C, X)$  is also 1.75 bits

Obs!

$$l_i = \log_2(1/p_i), p_i = 2^{-l_i}$$



# Kraft inequality

- Given a list of integer  $\{l_i\}$ , does there exist a uniquely decodable code with  $\{l_i\}$ ?
- “Market model”: total budget 1; cost per codeword of length  $l$  is  $2^{-l}$ .

**Kraft inequality:** For any uniquely decodeable code  $C$  over the binary alphabet  $\{0,1\}$ , the codeword lengths must satisfy:  $\sum_i 2^{-l_i} \leq 1$

Conversely, given a set of codeword lengths that satisfy this inequality, there exists a uniquely decodable prefix code with these codelengths.

# Limits of unique decodeability

0	00	000	0000	Total “budget”
			0001	
		001	0010	
			0011	
	01	010	0100	
			0101	
		011	0110	
			0111	
1	10	100	1000	
			1001	
		101	1010	
			1011	
	11	110	1100	
			1101	
		111	1110	
			1111	

# What can we hope for?

**Lower bound on expected length:** The expected length  $L(C,X)$  of a uniquely decodable code is bounded below by  $H(X)$ .

**Compression limit of symbol codes:** For an ensemble  $X$  there exists a prefix code

$$H(X) \leq L(C,X) < H(X) + 1.$$



# "Proof-map" of the lower bound

Define  $q_i \equiv 2^{-l_i} / z$ , where  $z = \sum_{i'} 2^{-l_{i'}}$

By the definition  
of log

Thus  $l_i = \log 1/q_i - \log z$

Substitution

$$L(C, X) = \sum_i p_i l_i = \sum_i p_i \log 1/q_i - \log z$$

$\geq 0$

Kraft  
inequality

$$\geq \sum_i p_i \log 1/p_i - \log z$$

Gibbs inequality

$$\geq H(X)$$



# Proof of Gibbs' inequality

- Jensen's inequality:  $f(E(x)) \leq E(f(x))$

$$\Rightarrow \int p(x) \log \frac{p(x)}{q(x)} \geq -\log \int p(x) \frac{q(x)}{p(x)}$$

$$\Rightarrow \int p(x) \log \frac{p(x)}{q(x)} \geq 0$$

$$\Rightarrow -\int p(x) \log q(x) \geq -\int p(x) \log p(x),$$

- Alternative proofs: see e.g. Wikipedia

# (What happens if we use the “wrong” code?)

Assume the “true probability distribution” is  $\{p_i\}$ . If we use a complete code with lengths  $l_i$ , they define a probabilistic model  $q_i = 2^{-l_i}$ . The average length is

$$L(C, X) = H(X) + \sum_i p_i \log p_i / q_i$$

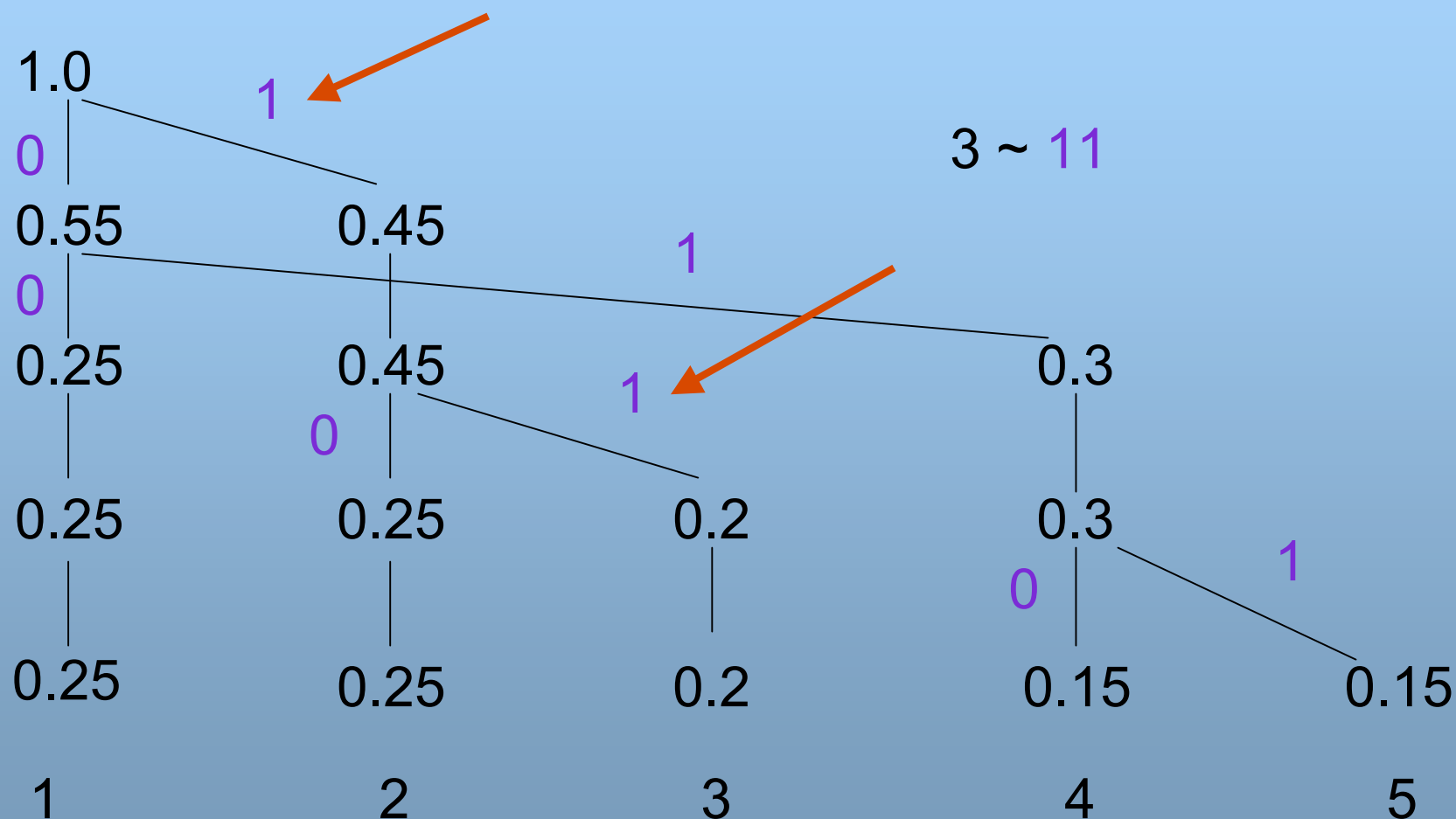
Kullback-Leibler divergence  $D_{KL}(p||q)$

NB: The expected code length reaches the minimum  $H(X)$  when  $l_i = \log (1/p_i)$   
(in other words: when  $p=q$  and K-L divergence is zero)

# Optimal symbol code: Huffman coding

- Take two least probable symbols in the alphabet as defined by  $\{p_i\}$ .
- Combine these symbols into a single symbol,  $p_{\text{new}} = p_1 + p_2$ . Repeat (until one symbol)

# Huffman in practice



# Huffman for the Linux manual

$L(C, X) = 4.15$  bits

$H(X) = 4.11$  bits



$a_i$	$p_i$	$\log_2 \frac{1}{p_i}$	$l_i$	$c(a_i)$
a	0.0575	4.1	4	0000
b	0.0128	6.3	6	001000
c	0.0263	5.2	5	00101
d	0.0285	5.1	5	10000
e	0.0913	3.5	4	1100
f	0.0173	5.9	6	111000
g	0.0133	6.2	6	001001
h	0.0313	5.0	5	10001
i	0.0599	4.1	4	1001
j	0.0006	10.7	10	1101000000
k	0.0084	6.9	7	1010000
l	0.0335	4.9	5	11101
m	0.0235	5.4	6	110101
n	0.0596	4.1	4	0001
o	0.0689	3.9	4	1011
p	0.0192	5.7	6	111001
q	0.0008	10.3	9	110100001
r	0.0508	4.3	5	11011
s	0.0567	4.1	4	0011
t	0.0706	3.8	4	1111
u	0.0334	4.9	5	10101
v	0.0069	7.2	8	11010001
w	0.0119	6.4	7	1101001
x	0.0073	7.1	7	1010001
y	0.0164	5.9	6	101001
z	0.0007	10.4	10	1101000001
-	0.1928	2.4	2	01

Figure 3.3. Huffman code for the English language ensemble introduced in figure 1.16.

# Why is this not the end of the story?

- Adaptation: what if the ensemble  $X$  changes? (as it does...)
  - ✓ calculate probabilities in one pass
  - ✓ communicate code + the Huffman-coded message
- "The extra bit": what if  $H(X) \sim 1$  bit?
  - ✓ Group symbols to blocks and design a "Huffman block code"

# IEEE Information Society Golden Award: Stream codes



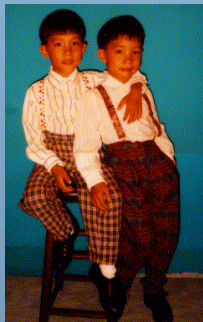
# The guessing game

THERE-IS-NO-GROUP-LIKE-COSCO-GROUP

211511211311112111111321111111121111

“A new  
alphabet”

The number of guesses before the  
character was identified



Encode: use the number of guesses

211511211311112111111321111111121111

Decode: let the twin guess and stop  
after the communicated number of  
guesses





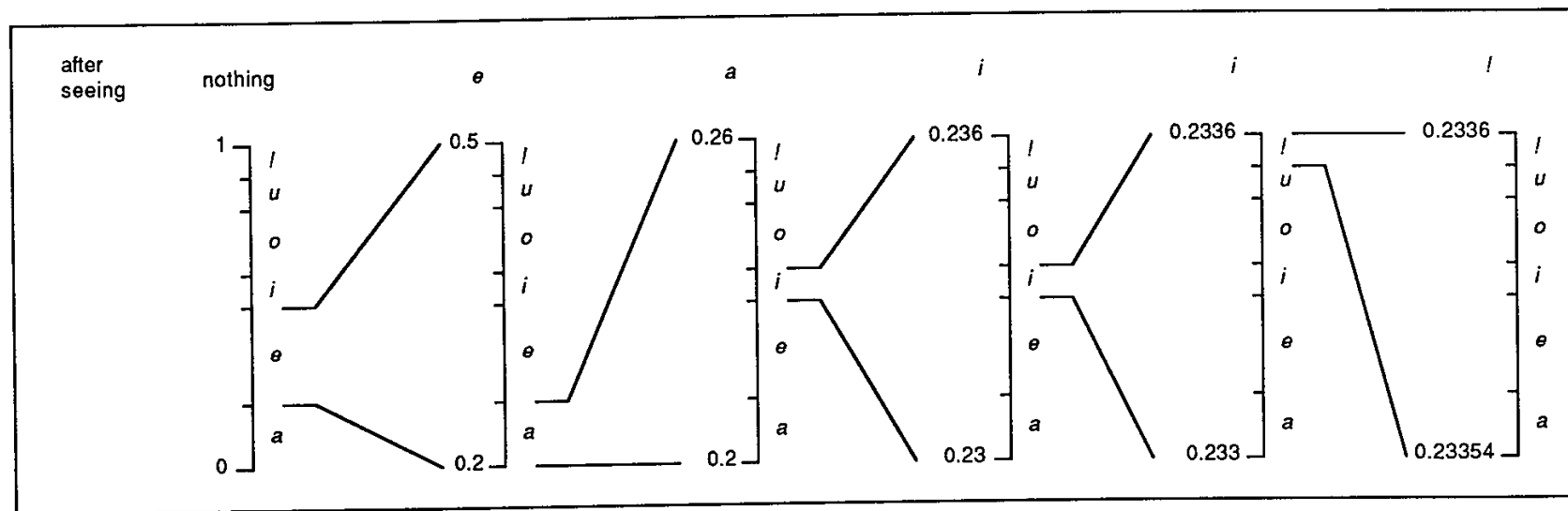
# History of arithmetic coding

- Does not require that the symbols translate into integral number of bits
- Shannon 1948 discussed binary fractions
- First code of this type discovered by Elias
- 1976 Pasco and Rissanen (independently)
- Rissanen & Langdon 1979 described hardware implementation

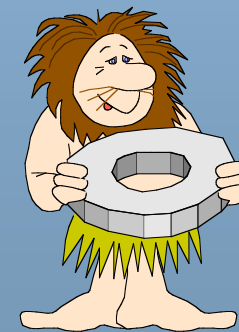
# An example fixed model

<b>Symbol</b>	<b>Probability</b>	<b>Range</b>
a	0.2	[0,0.2)
e	0.3	[0.2,0.5)
i	0.1	[0.5,0.6)
o	0.2	[0.6,0.8)
u	0.1	[0.8,0.9)
!	0.1	[0.9,1.0)

# The idea



(b)



# Arithmetic coding

- with every new symbol produced by the source, the probabilistic model provides a predictive distribution over all possible values of the next symbol
- encoder uses the model predictions to create a binary string
- dynamic model (chain rule):

$$P(e,a,i,i,!)=P(e)P(a|e)P(i|e,a)P(i|e,a,i)P(!|e,a,i,i)$$

# Basics

- Source alphabet  $\mathcal{A}_x = \{a_1, \dots, a_I\}$
- Source stream  $x_1, x_2, \dots$
- Model  $M$ :

$$P(x_n = a_i \mid x_1, \dots, x_{n-1})$$

- A binary transmission is viewed defining an **interval** within the real line from 0 to 1

01101  $\longrightarrow$  [0.01101, 0.01110)

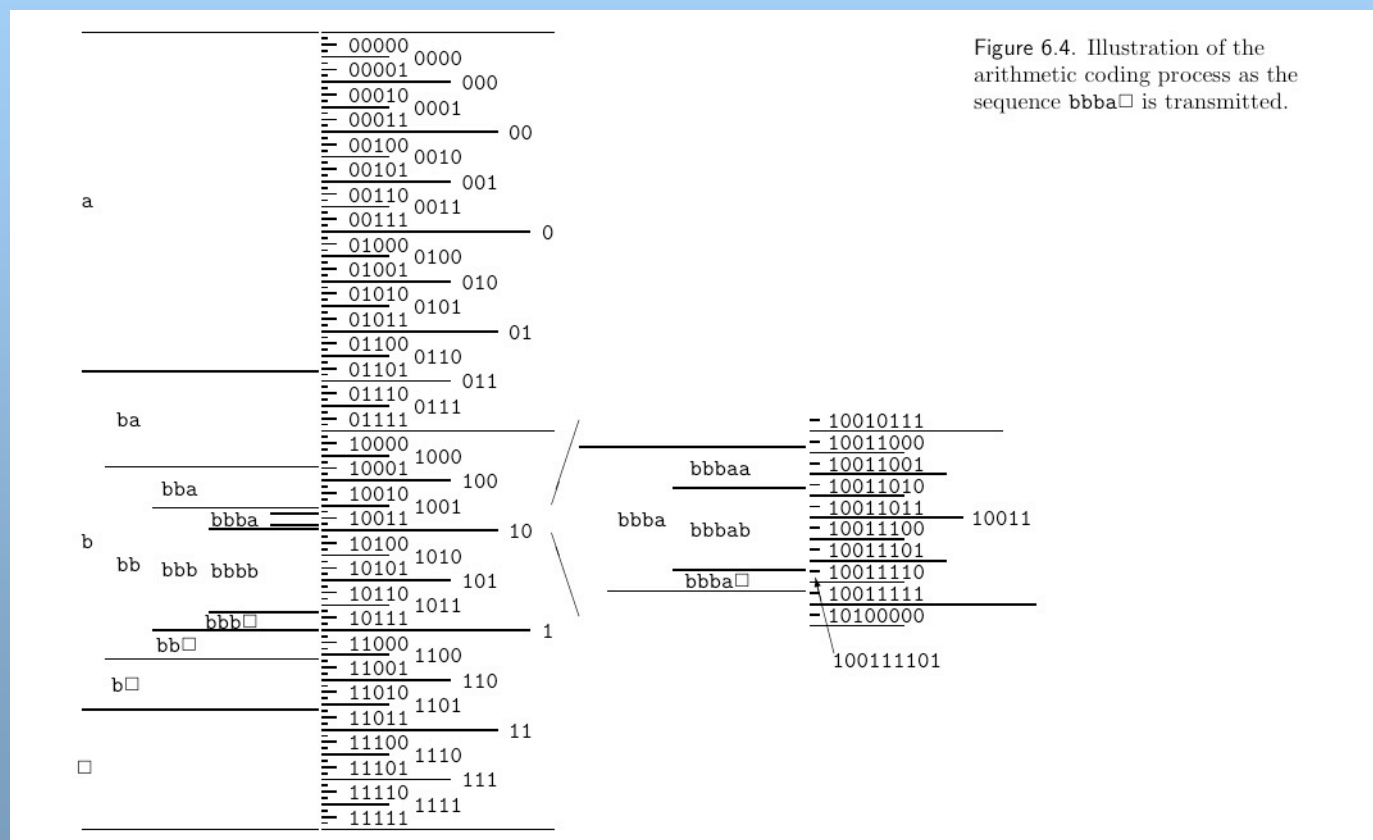
# Basics continued

- $[0,1)$  can be divided into  $I$  intervals according to  $P(x_1=a_i)$   
 $[0, P(x_1 = a_1)), [P(x_1 = a_1), P(x_1 = a_2)), \dots$
- Repeat the same procedure with interval  $a_i$  to get  $a_i a_1, \dots, a_i a_I$  so that the length of  $a_i a_j$  is proportional to

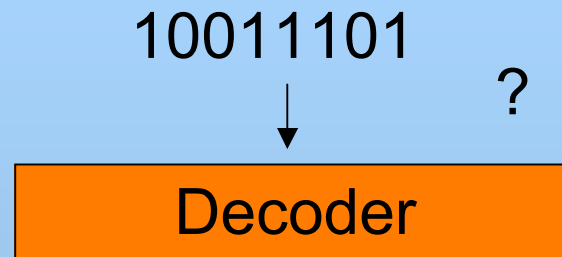
$$R_{n,i|x_1,\dots,x_{n-1}} \equiv \sum_{i'=1}^i P(x_n = a_{i'} | x_1, \dots, x_{n-1})$$



# Encoding example



# Decoding example



Calculate the initial  $P(a)$ ,  $P(b)$  and  $P(!)$  [duplicate the encoder!] and deduce the intervals “a”, “b” and “!”

10  $\longrightarrow$  Deduce that the first symbol was “b”



Calculate  $P(a|b)$ ,  $P(b|b)$  and  $P(!|b)$  and deduce the intervals “ba”, “bb” and “b!”

1001  $\longrightarrow$  Deduce that the second symbol was “b” Etc.



# Lempel-Ziv coding

- simple to implement, asymptotic rate approaches the entropy
- widely used (gzip, compress,...)
- basic idea: replace a substring with a pointer to an earlier occurrence of the substring
- Example:
  - ✓ String: 1011010100010...
  - ✓ Substrings: 1, 0, 11, 01, 010, 00, 10,...
  - ✓ Replace 010 with a pointer to "01" + "0"

# Various codes: the big picture

- **fixed length block codes**: mappings from a fixed number of course symbols to a fixed length binary message
- **symbol codes**
  - ✓ variable length code for each symbol in the alphabet
  - ✓ code lengths integers
  - ✓ Huffman code (expectation) optimal

# ...big picture continued

- stream codes

- ✓ not constrained to emit at least one bit for every symbol in the source stream
- ✓ arithmetic codes use a probabilistic model that identifies each string with a sub-interval of  $[0,1)$ . "Good compression requires intelligence"
- ✓ Lempel-Ziv codes memorize strings that have already occurred. "No prior assumptions on the world"