Lecture 12
Parameter Estimation
Summary

HW/SW Monitors
Events/Sampling
Overhead
Example
Summary

Order Processing Example

- Create model, Fig 9.1
- How to get parameter values?
  - OS
  - TP monitor
  - DBMS
  - Application

Customers	disk A
Inventory	disk A?
Orders	disk B
Paging	disk C

Job classes:	Order entry	init R=3.1 sec
	Order query	

Measurement Process

- See Fig. 9.2
- Which data is needed?
- Where to place instrumentation?
- When to collect data?
- How long to collect data?
- How to account for measurement error?
  - load caused by the instrumentation?
  - does instrumentation have side effects?

HW Monitors

- Collect raw data
- Wire monitors to physical units

  Fig. 2.7 & Tbl 2.3 [Ferrari 78]

- HW tool characteristics (1978)

  Tbl. 2.2 [Ferrari 78]

- Can be made to work with no (or very little) side effects or load
  - why?

SW Monitors

- Modify SW to collect data

  Application? OS? DBMS?
- Use off-the-shelf monitoring system

  OS? DBMS? Other?
- Problem: runs in the same system that is being monitored
  - biased data. uses system resources
- Compare to HW monitors

  Tbl 7.1 [Jain 91]

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Use Accounting Data for Parameter Estimation

- Good: it is there already (or is it?)
- Bad: it is built for accounting purposes
- Who used resources?
  - user id, program, project, account nr or class
- What resources were used?
  - CPU time, I/O op counts, NW use, mem use
- When were resources used?
  - user time only?
  - Granularity may be too coarse?

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Program Analyzers

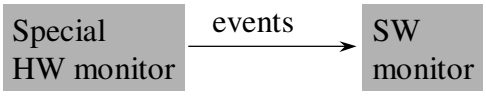
- Runs with some programs
- Designed for one program

IBM DB2, IMS, CICS

- Generic analyzers
  - gprof
  - get nr. of transactions, CPU time, mpl

Hybrid Monitors

- HW + SW



- Data filtering

same system?
different system?

- Post run analysis
- Can be very large monitoring system
  - as large as actual monitored system

[Wybranietz & Haban]

Events or Sampling

- Event driven or time driven data gathering?
- Volume?
- Data collection method?
- Data storage? Where?
- Overhead?

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Events

- Predefined system events
  - "I/O request completed"
  - "process terminates"
- Create events
  - how much overhead?
- Log them into log files, or
- Send them to filtering and analysis

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Sampling

- Good: smaller volume
- Bad: may miss something
- Sampling rate? too high? too low?
- Collect state information
  - "CPU busy", "disk busy"
  - "amount of memory at use"
- Assign data to threads/processes/programs?
  - "CPU busy 5 ms due to process P" ???

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Measurement Unit

- Module
- Subroutine
- Statement
  - language dependent?
- Machine instruction?

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Time Unit

- Real time?
- System time?
- External time?
- CPU time?
- Elapsed time?

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Instrumentation

- When?
  - source code
  - compiler generated code
  - before linking, or at load time
  - run time
- How?
  - source code? Obj. code?
  - run time env.? OS? HW?

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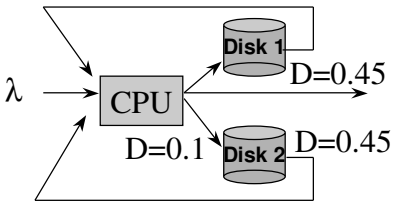
Report

- Hierarchical
- Graphics
- What time is used?
  - inherited time
  - own time

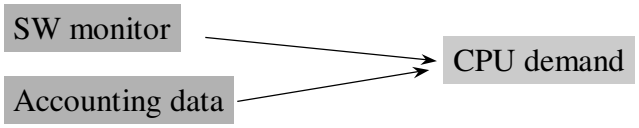
Parameter Values



- Job classes
  - clustering? factor analysis?
- N_r λ_r Z_r M_r
  - external to system: plain measurements
- D_{ir}
  - internal: need internal data - e.g., U_{ir}
  - $D_{ir} = U_{ir} * T / C_{0r}$
  - sometimes get only U_i

Parameter Example

Fig. 9.3 [Men 94]



Transaction Processing System Data

components not known

- Have $U_{total} = U_{cpu, OS} + U_{cpu,TP} + U_{cpu,prog}$
- Need $U_{cpu,r}$ for each transaction class r

$$U_{cpu,r} = U_{cpu} * f_{cpu,tp} * f_{tp,r}$$

$$\frac{U_{CPU,TP}}{\sum_s U_{CPU,s}}$$

$$\frac{T^{TP}_{CPU,r}}{\sum_{s \in TP} T^{TP}_{CPU,s}}$$

sampling system monitor

analyzer for TP monitor

TP Example (1)

- System with 3 classes:
  - Batch (B), Interactive (I)
  - TP (queries & updates)
- System monitor: T=1800, $U_{cpu}^{total} = 72\%$
- Accounting: $U_{cpu,B}^{noOS} = 32\%$ $U_{cpu,I}^{noOS} = 10\%$ $U_{cpu,TP}^{noOS} = 28\%$
- TP analyzer:
  - 1200 queries in 120 sec
  - 400 updates in 140 sec
- Now,

for our TP model we need demands for these!

0.35 sec per update

$$U_{cpu,U} = 0.72 * \frac{28}{70} * \frac{140}{260} = 15.5\%$$
$$D_{cpu,U} = U_{cpu,U} X_{0,U} = 15.5\% * \frac{1800}{400} = 0.70\text{sec}$$
$$D_{cpu,Q} = \left[0.72 * \frac{28}{70} * \frac{140}{260} \right] * \frac{1800}{1200} = 0.20\text{sec}$$

Arrival Rate & MPL

- Assume flow balance
  - arrival count ≅ completion count
  - $\lambda_r = C_{0r} / T$
- Average mpl:

$$\overline{N} = \lambda \; R = \frac{1}{T/n} * \frac{\sum_i e_i}{n} = \frac{\sum_i e_i}{T}$$

elapsed time for job i

nr of jobs

Fig 9.4 [Men 94]

M and Z (2)

- M - Number of terminals

session length (from accounting logs)

$$\overline{M} = \text{"session rate"} * \text{"session time"} = \frac{n}{T} * \frac{\sum S_i}{n} = \frac{\sum S_i}{T}$$

- Z:

$$Z = \frac{M}{X} - R = \frac{M}{C_0 / T} - R$$

average measured elapsed time in system

Example: T =1 hour = 3600 sec
M=40 4900 interactive commands
2.5 sec aver resp time
Z = 40 / (4900/3600) - 2.5 = 29.4 - 2.5 = 26.9 sec.

CPU Demand: $D_{cpu,r}$

$$D_{cpu,r} = U_{cpu,r} * \frac{T}{C_{0,r}} = U_{cpu}^{Total} * f_{cpu,r} * \frac{T}{C_{0,r}}$$

where $f_{cpu,r} = \frac{C_{0,r}}{\sum_s C_{0,s}} = f(jobs)$

or $f_{cpu,r} = \frac{U_{cpu,r}^{mon}}{\sum_s U_{cpu,s}^{mon}} = f(time)$

or $f_{cpu,r} = \frac{nrIO(r)}{\sum_s nrIO(s)} = f(\text{nr IO's})$

or ...

Capture Ratio (1)

- Capture ratio
  - class dependent!
- Example:

$$C_r = \frac{measured\ T_{cpu,r}}{actual\ T_{cpu,r}}$$

measure
estimate
calibrate
quess

$C_{batch} = 0.80$ $C_{term} = 0.60$

$T = 7200\,\text{sec}$ $U_{cpu}^{Total} = 64\%$

$T_{cpu,batch}^{Meas} = T_{cpu,term}^{Meas} = 1500\,\text{sec}$

$T_{cpu,batch} = \frac{1500}{0.80} = 1875\,\text{sec}$ (actual!)

$T_{cpu,term} = \frac{1500}{0.60} = 2500\,\text{sec}$ $T_{cpu} = 4375\,\text{sec}$

actual,
not measured

$U_{cpu,batch} = U_{cpu}^{Total} * f_{cpu,batch} = 0.64 * \frac{1875}{4375} = 0.27$

D_{ir} for Disks

IO count

Mean service time

$$U_i^{Total} = \frac{IOC_i * MST_i}{T}$$ (or from HW monitor)

$$D_{ir} = \frac{U_i^{Total} * T}{C_{0,r}} * f_{ir} = \frac{IOC_i * MST_i}{C_{0,r}} * f_{ir}$$

where $f_{ir} = \frac{IOC_{ir}}{IOC_{0,r}}$ (user data)

or $f_{ir} = \frac{SWAPC_{ir}}{SWAPC_{0,r}}$ (swap data)

or $f_{ir} = \frac{PageInC_{ir}}{PageInC_{0,r}}$ (paging data)

Delay Node

- High disk utilization: include all disks in model
- Low (< 5%?) disk utilization: may use one aggregate **delay** node in model
  - no queueing likely ….

$$D_{ir} = \sum_{disks\ j} \frac{U_j^{Total} * T}{C_{0,r}} * f_{jr} = \frac{T}{C_{0,r}} \sum_{disks\ j} U_j^{Total} * f_{jr}$$

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Order Processing Example

Tbl 9.1 [Men 94]

- Want: Arrival rates, demands
- CPU demands — Tbl 9.3
- File ops per application class — Tbl 9.4
- File ops per disk — Tbl 9.5
- Disk util. & demands per class — Tbl 9.6
- Z_r's when R_r's are know: use Little's Law

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Estimate Z_r's if R_r unknown

- Use subsystem as open model
- Use $\lambda_r = X_{0r}$
- Solve model, get R_r = (3.00, 0.98, 2.23)
- Use those estimates to compute

$$Z_r = M_r/X_{0r} - R_r$$

Z_{OE} = 10/1.43 - 3.0 = 3.99 (vs 3.75)
Z_{OI} = 5/0.83 - 0.98 = 5.04 (vs 5.04)
Z_{Ot} = 5/0.31 - 2.23 = 13.9 (vs. 13.9)

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Calibration

- Use parameters to build baseline model
- Use solver
  - e.g., PMVA — fig.9.1.out
  - get R_{OE} = 2.5 (not 3.25 as in Tbl 9.1)
- What now? Calibrate model!
  - scale (or add to) output to match data
  - adjust MPL or its distribution
  - add new class — fig.9.1a.out
  - modify demands (at botleneck) — fig.9.1b.out
  - add ghost server for unaccounted for load

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Summary

- Probability Theory
- Modelling
- Solution Methods
- Approximations
- Parameter Estimation

Probability Theory

- Distributions
- Sample
- Variance
- Confidence intervals

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Modeling

- Capacity planning process
- System model
- Workload model
- Capacity
- Performance

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Solution Methods

- M/M/1, Markov Chain
- Operational analysis
- Closed models
  - Convolution
  - MVA
  - Approximate MVA
- Open models

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Approximations

- Flow equivalent server
- Aggregate model
- Iterative solutions
  - multiple class memory
- Load concealment
- Shadow server

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Parameter Estimation

- Measurement process
- HW/SW monitors
  - hybrid monitors
- Accounting data
- Program analyzers
- Parameter values
  - combine data from various sources
  - fractions
  - overhead

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