

# Lecture 2

## Performance Evaluation Process, Models and Metrics

Usage  
Function  
Model  
Metrics  
Examples

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## Capacity Planning Usage

- Current system, new system
- HW
- SW
  - OS
  - Applications
- Measurement of existing system
- Tuning current system
- Planning for future systems
- See Figs on bad planning

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## Capacity Planning Basic Methods

- Measurement
- Modeling
  - Solution methods for models
    - analytical, simulation, mixed
    - operational analysis, approximations
  - Parameter estimation
    - existing systems, future systems
    - guesswork
    - workload modeling

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## Capacity Planning Example Usages

- Why is my machine so slow?
  - would 64MB extra memory help?
  - should I put the 64MB in main memory or into the display card?
  - what if I just change the scheduling algorithm?
- Is Pentium II fast enough for this server, or do we need to use a Pentium IV?
  - how fast Pentium IV?
  - what about 2 years from now?

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# Capacity Planning

## Example Usages

- What about the new system?
  - Is it fast enough? What does "fast" mean?
  - Is it balanced?
    - slow component => everybody is slowed down
    - fast component => waste of money
- What about the current system?
  - How do we get most of it out with the least expenses?
  - Can we modify it or do we need completely new system? When do we need it?

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## Example: Bank Application

[Menasce 94]

- System: terminals, network, CPU, 2 disks
- Service
  - Queries, 70% of transactions, max resp. time 3 s
  - Updates into many files, max resp. time 10 s.
- measured service time per transaction
 

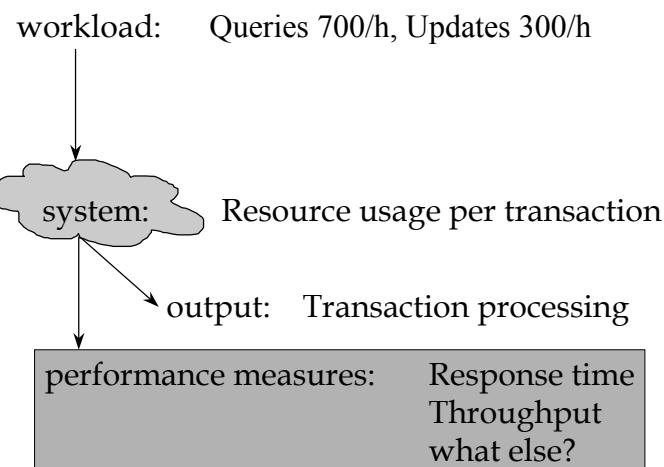
	Quer	Upd
CPU	0.20	0.30 sec
Disk1	0.30	0.80
Disk2	0.25	0.45
- Query resp. time 2.3 s, Update resp. time 9 s
- Queries 700/h, Updates 300/h
- Can the system handle it, if the query rate goes up 30%?

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## Example (contd)



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## Saturation

- System is saturated, if the performance requirement for some job class is not met
  - e.g., response time  $> 3$  s
  - *no* device is necessarily saturated
- A device is saturated if a physical device is at use close to 100% of the time
  - CPU utilization is close to 100%?
  - network is close to 100% utilized
  - response times very high, system is saturated
  - *many* devices may be saturated

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## Performance Metrics

- Customer View, External Performance
  - response time, turnaround time, reaction time
  - throughput, flow
  - availability
- System View, Internal Performance
  - response time ( $R, R_i$ )
  - throughput ( $X, X_i$ )
  - utilization ( $U, U_i$ )
  - queue length ( $Q, Q_i$ )
  - system capacity?
  - component capacity?
  - cost

Bottom line?  
Goal?

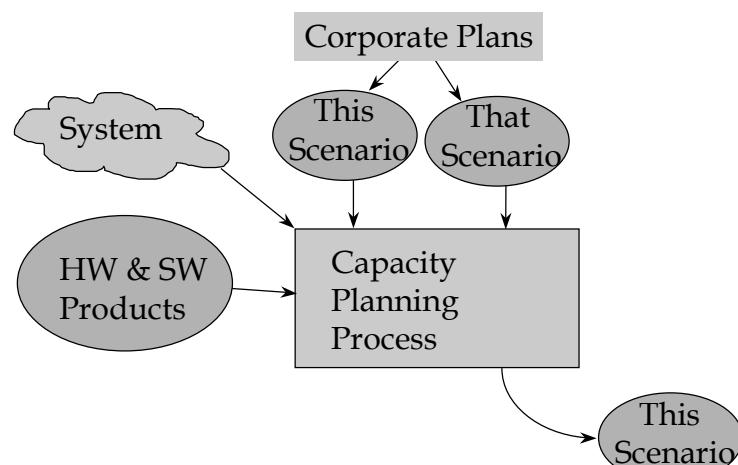
for system  
for each device i

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## Function of Capacity Planning Process

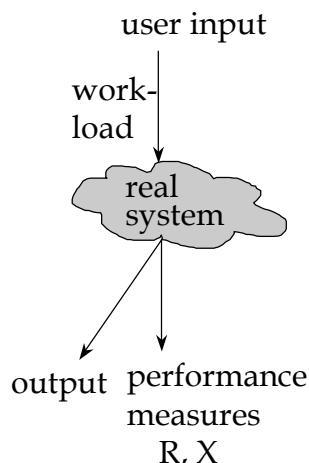


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## System Model <sub>(2)</sub>

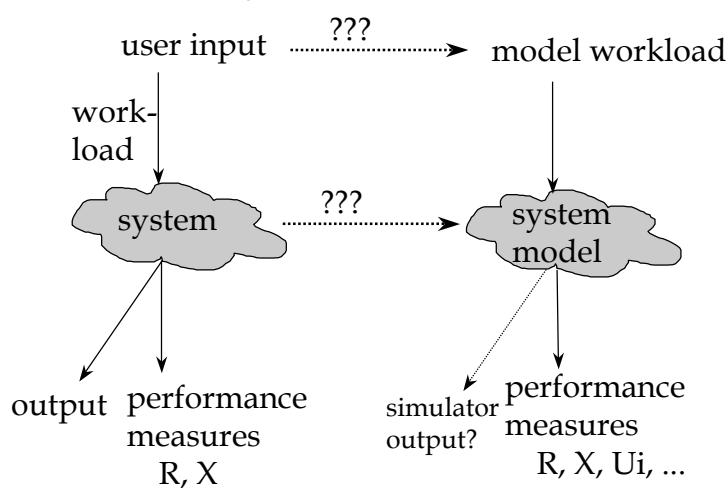


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## System Model <sub>(2)</sub>



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## Example on Prediction

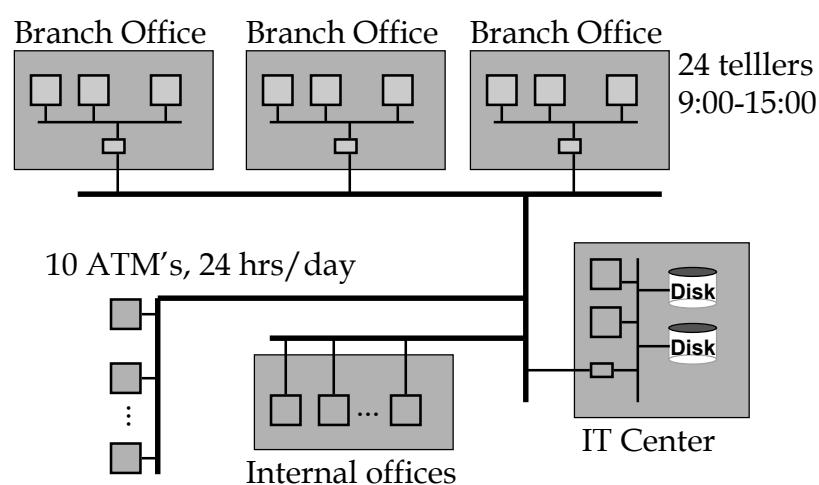
- Previous CPU utilization
  - Table 1.2 [Menasce 94]
- Linear forecast of CPU utilization
  - Table 1.3 [Menasce 94]
- Bad estimate for September. Why?
  - bad assumption: linear growth
  - possible changes in workload not considered
  - CPU utilization might be bad metric for system performance
  - Better: response time? for different job classes?

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## Example Problem: Bank



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## Teller Load to System

- 2 online transactions per customer
- peak 11:30-13:30: 20 customers/hour  
I.e.,  $24 * 20 * 2 = \mathbf{960 \text{ trans/h}}$  (total), or  
 $\mathbf{320 \text{ trans/h}}$  (per branch) or  
 $\mathbf{80 \text{ trans/h}}$  (per teller), or
- other: 12 customers/h  
I.e.,  $24 * 12 * 2 = \mathbf{576 \text{ transactions/h}}$  (total)

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## ATM Load to System

- 1.2 transactions/customer (**in average**)
- peak 8:00-9:00, 15:00-21:00  
15 customers/h, I.e.,  
 $10 * 15 * 1.2 = \mathbf{180 \text{ trans/h}}$  (total)  
or  $\mathbf{18 \text{ trans/h}}$  (per ATM)
- other: 7.5 cust/h, I.e., **90 trans/h** (total)

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## Average System Response Time

- Teller peak 1.23 s limit 3 s.
- ATM peak 1.02 s limit 4 s.

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## Expansion?

- Teller peak load is 960 trans/hr  
New branch office per every 2 months:  
320 new trans/h per 2 months, I.e.,  
160 new trans/h per month, I.e.,  
teller peak estimate: **960 + 160m trans/h**
- ATM peak load is 180 trans/h  
20 new ATMs per 2 months, I.e.,  
 $10 * 18 = 180$  new trans/hr/month, I.e.,  
ATM peak estimate: **180+180m trans/h**

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## Expansion Questions

- How long are resp. times OK?  
 $R(\text{teller}) < 3 \text{ sec}$ ?    $R(\text{ATM}) < 4 \text{ sec}$ ?
- What upgrade is needed and when?
  - new CPU? new disks? new traffic controller?
  - Figs 1.4 and 1.3 [Menasce 94]
- Would another, distributed approach be better?
  - more scalable?
  - Figs 1.5 and 1.6 [Menasce 94]

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## Performance Metrics, Customer View, External Performance

- Response time      (vasteaika)
- Turnaround time    (vastausaika)
- Reaction time      (reaktioaika)
- Throughput          (läpimenotihleys, -vuo)
- Availability        (käytettävyys)

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## Performance Metrics, System View, Internal Performance

- Utilization (\*) U                         (käyttösuhde)
- Queue length (\*) Q                         (jonon pituus)
- Response time                                 (vasteaika)
- Throughput                                     (läpimenotihveys)
- Capacity(\*)                                     (kapasiteetti)
- Cost (\*)                                         (hinta)

(\*) per system, or per component